



Notice of a public meeting of the

Audit & Governance Committee

To:	Councillors Ayre (Chair), Brooks (Vice-Chair), Potter, Barnes, D'Agorne, Fraser, Scott and Mr Whiteley (Co-opted Non-Statutory Member)
Date:	Wednesday, 11 February 2015
Time:	5.30 pm
Venue:	The George Hudson Board Room - 1st Floor West Offices (F045)

AGENDA

1. **Declarations of Interest**

Members are asked to declare:

- Any personal interests not included on the Register of Interests
- Any prejudicial interests or
- Any disclosable pecuniary interests

which they may have in respect of business on the agenda.

2. **Exclusion of Press and Public**

To consider the exclusion of the press and public from the meeting during consideration of Annex 1 to agenda item 20 on the grounds that it contains information relating to prevention, prosecution or investigation of crime. This information is classed as exempt under paragraph 7 of Schedule 12A to Section 100A of the Local Government Act 1972 (as revised by the Local Government (Access to Information (Variation) Order 2006).

3. Minutes (Pages 1 - 14)

To approve and sign the minutes of the meetings of the Audit and Governance Committee held on 10 December 2014 and 15 January 2015.

4. Public Participation

At this point in the meeting members of the public who have registered their wish to speak regarding an item on the agenda or an issue within the Committee's remit can do so. The deadline for registering is **5:00 pm on Tuesday 10 February 2015**.

Filming, Recording or Webcasting Meetings

Please note this meeting will be filmed and webcast and that includes any registered public speakers, who have given their permission. This broadcast can be viewed at <http://www.york.gov.uk/webcasts>.

Residents are welcome to photograph, film or record Councillors and Officers at all meetings open to the press and public. This includes the use of social media reporting, i.e. tweeting. Anyone wishing to film, record or take photos at any public meeting should contact the Democracy Officer (whose contact details are at the foot of this agenda) in advance of the meeting.

The Council's protocol on Webcasting, Filming & Recording of Meetings ensures that these practices are carried out in a manner both respectful to the conduct of the meeting and all those present. It can be viewed at http://www.york.gov.uk/downloads/download/3130/protocol_for_webcasting_filming_and_recording_of_council_meetings

5. Audit and Governance Committee Forward Plan to December 2015 (Pages 15 - 20)

This paper presents the future plan of reports expected to be presented to the Committee during the forthcoming year to December 2015.

6. Lendal Bridge Trial (Pages 21 - 72)

This report covers the actions taken in respect of governance of major projects, including transport projects, as a result of the review of the trial closure of Lendal Bridge in 2013-2014.

7. Project Management Update (Pages 73 - 78)

This report presents an update on the council's approach to the management of programmes and projects.

8. Update Report on Adult Social Care (Pages 79 - 94)

This report provides an update on progress made by Adult Social Care against the recommendations designed by the External Auditors to assist the service to respond more effectively and quickly to the challenges posed by continuing demographic growth and financial constraints.

9. Local Government Association (LGA) Peer Review (Pages 95 - 132)

This report sets out the action plan that has been developed in response to the LGA Peer Review.

10. Proposed Governance Changes by Opposition Groups (Pages 133 - 138)

This report details a list of governance changes submitted by the Opposition Groups and Independent Members, and the Leader's response to the proposed changes.

11. Contract Procedure Rules (Pages 139 - 172)

This report invites Members to make recommendations to Council in relation to constitutional changes regarding the Contract Procedure Rules.

12. Access to Information Rules (Pages 173 - 194)

This report invites Members to make recommendations to Council in relation to constitutional changes regarding access to information rules.

13. Business Continuity (Pages 195 - 208)

This report sets out the Council's approach to business continuity and the current position of the Council with regards to identifying those service areas which provide critical services and progress towards the development of business continuity plans for these critical service areas.

14. Key Corporate Risk Monitor 3 for 2014/15 (Pages 209 - 232)

This paper presents an update on the key corporate risks for City of York Council and the present refreshed Key Corporate Risk Register 2015.

15. Mazars Audit Progress Report (Pages 233 - 256)

This report updates Members on progress made by Mazars in meeting its responsibilities as external auditor. It also includes key emerging national issues and developments which may be of interest to the Committee.

16. Mazars Annual Grants Report 2013/14 (Pages 257 - 262)

This report presents the Mazars Certification of Claims and Returns Annual Report for 2013/2014.

17. Update on Implementation of revised Transparency Code (Pages 263 - 266)

This report provides Members with an update on progress on the implementation of the revised Local Government Transparency Code.

18. Scrutiny of the Treasury Management Strategy Statement and Prudential Indicators for 2015/16 to 2019/20 (Pages 267 - 306)

This report presents the Treasury Management Strategy Statement and Prudential Indicators 2015/16 to 2019/20.

19. Information Security Update Report (Pages 307 - 314)

This report provides Members with an update on the adequacy and effectiveness of the Council's information security arrangements.

20. Internal Audit Plan Consultation and Counter Fraud Risk Assessment (Pages 315 - 332)

This report seeks Members' views on the priorities for internal audit for 2015/16, to inform the preparation of the annual audit plan. It also informs Members about potential fraud risks that the Council is exposed to, and proposed counter fraud activity to address those risks.

21. Urgent Business

Any other business which the Chair considers urgent under the Local Government Act 1972.

Democracy Officer:

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For more information about any of the following please contact the Democratic Services Officer responsible for servicing this meeting:

- Registering to speak
- Business of the meeting
- Any special arrangements
- Copies of reports and
- For receiving reports in other formats

Contact details are set out above.

This information can be provided in your own language.

我們也用您們的語言提供這個信息 (Cantonese)

এই তথ্য আপনার নিজের ভাষায় দেয়া যেতে পারে। (Bengali)

Ta informacja może być dostarczona w twoim własnym języku. (Polish)

Bu bilgiyi kendi dilinizde almanız mümkündür. (Turkish)

یہ معلومات آپ کی اپنی زبان (بولی) میں بھی مہیا کی جاسکتی ہیں۔ (Urdu)

 (01904) 551550